PRIME XBT

FAIS DISCLOSURE DOCUMENT

INTRODUCTION

In terms of the General Code of Conduct of the FAIS Act, **PrimeXBT (Pty) Ltd (Registration number 2013/099697/07)** is required to disclose the information in this document to you as an authorised Financial Services Provider. You are therefore requested to read through the document carefully and sign the acknowledgement that you have read and understand the contents hereof. If there is anything in this document that you do not understand, please request further information from us. You are entitled to a copy of this document for your own records and to request a copy of our FSP license certificate.

THE REPRESENTATIVE(s)

Name and Surname Alexander Angus De Bruyn
Email address info@primexbt.co.za

Name and Surname David Hancock
Email address info@primexbt.co.za

PrimeXBT (Pty) Ltd accepts responsibility for the activities of the Representative insofar as it is performed within the scope of the contractual agreement between PrimeXBT (Pty) Ltd and the Representative.

The Representative is currently rendering financial services under supervision: Yes ☐ No ☒

FINANCIAL SERVICE PROVIDER, PRODUCTS AND CONTACT DETAILS

The Financial Sector Conduct Authority has duly authorised PrimeXBT (Pty) Ltd to render financial services as defined in terms of the FAIS Act in respect of the following financial products:

Category Description	Advice Automated	Advice Non-automated	Intermediary Scripted	Intermediary Other
CATEGORY I				
1.08 Shares				X
1.09 Money market instruments		X		X
1.12 Bonds		X		X
1.13 Derivative instruments		X		X
1.17 Long-term Deposits		X		X
1.18 Short-term Deposits		X		X
1.27 Crypto Assets		X		X
CATEGORY II				
2.06 Money market instruments				X
2.09 Bonds				X
2.10 Derivative instruments				X

COMPANY DETAILS

Physical address	180 Lancaster Road, Gordons Bay, Western Cape, 7140
FSP license number	45697
Company registration	2013/099697/07
Legal status	Private Company
Postal Address	180 Lancaster Road, Gordons Bay, Western Cape, 7140

EXEMPTIONS

The FSP was not awarded any exemptions from the Act.

PRODUCT SUPPLIERS

PrimeXBT (Pty) Ltd offers the products of the below product suppliers as set out in the Terms & Conditions, published on the website of PrimeXBT (Pty) Ltd at www.primexbt.com

PXBT Trading Ltd, licensed by the Financial Services Authority in Seychelles under license number SD162, serves as the liquidity provider and market maker for Contracts for Difference products.

Physical/ postal Address: IMAD Complex, Office 3, Ile Du Port, Seychelles
Contact number: +248 4 226 278
Contact details of Compliance Department: compliance@pxbt.com
Contact details of Complaints Department: compliance@pxbt.com
Contractual relationship with product supplier: Liquidity Provision Agreement

PrimeXBT Trading Services Ltd, incorporated in Saint Lucia with Registration No. 2024-00343, serves as the liquidity provider and market maker for crypto futures products.

Physical/ postal Address: Top Floor, Rodney Court Building, Rodney Bay, Gros Islet, Saint Lucia
Contact number: N/A
Contact details of Compliance Department: compliance@primexbt.com
Contact details of Complaints Department: complaints@primexbt.com
Contractual relationship with product supplier: Liquidity Provision Agreement

Valr (Pty) Ltd, a global crypto exchange provider licensed by the Financial Sector Conduct Authority (FSCA) under license number FSP #53308, headquartered in Johannesburg, South Africa, serves as the liquidity provider for **crypto assets.**

Physical/ postal Address: 9th Floor Atrium on 5th Building, 5th Street, Sandton 2196, South Africa.
Contact number: N/A
Contact details of Compliance Department: N/A
Contact details of Complaints Department: N/A
Contact email address: help@valr.com
Contractual relationship with product supplier: Liquidity Provision Agreement

Nest Services Limited ('Binance'), incorporated in the Republic of Seychelles with Registration No. 238045,

and registered as a reporting Virtual Asset Services Provider with the Seychelles Financial Intelligence Unit, serves as the liquidity provider for **crypto assets**.

Physical/ postal Address: House of Francis, Room 303, Ile Du Port, Mahe, Seychelles
Contact number: +41 44 797 65 04 (Support Global)
Contact details of Compliance Department: N/A
Contact details of Complaints Department: N/A
Contact details of Support Department: support@binance.com
Contractual relationship with product supplier: Liquidity Provision Agreement

Flashdot Limited ('Kucoin'), serves as the liquidity provider for **crypto assets**.

Physical/ postal Address:94 Solaris Avenue, Camana Bay, George Town, KY1-1108, Cayman Islands
Contact number: N/A
Contact details of Compliance Department: N/A
Contact details of Complaints Department: N/A
Contact details of Support Department: support@kucoin.com
Contractual relationship with product supplier: Liquidity Provision Agreement

Please note the following:

- No conditions or restrictions have been imposed by the product suppliers with regard to types of financial products or services that may be provided or rendered by FSP.
- The FSP does not hold more than 10% of product suppliers' shares, nor does it have any equivalent substantial financial interest in the product supplier.
- The FSP did not receive more than 30% of total remuneration, including commission, from the product suppliers.

It is noted that the Company and the liquidity provider for crypto future products are affiliated entities by virtue of having common shareholders.

CONFLICT OF INTEREST MANAGEMENT POLICY

PrimeXBT (Pty) Ltd has adopted and implemented a conflict of interest management policy that complies with the provisions of the FAIS Act. The conflict of interest management policy is published on the website of PrimeXBT (Pty) Ltd at www.primexbt.com

INDEMNITY COVER

PrimeXBT (Pty) Ltd holds Professional Indemnity and Fidelity cover.

EXTERNAL COMPLIANCE OFFICER(s)

Name	Outsourced Compliance Services (Pty) Ltd (Registration number 2017/394441/07 and Practice number 7154)

Physical address	Johannesburg address: Office 4, Nedbank Building, 135 Rivonia Road, Sandown, Sandton, Gauteng, South Africa, 2196 Cape Town address: The Launch Pad, 180 Lancaster Road, Gordons Bay, Western Cape, South Africa, 7140
Contact person	Mr JJ van Zyl, Mr FB Steenkamp & Mr NM Phiri
Telephone number	+27 (0)11 861 3838 +27 (0)21 330 5782
	info@outsourcedcompliance.co.za

COMPLAINTS

Should you wish to pursue a complaint, you should address the complaint in writing to complaints@primexbt.co.za, as per our Complaints Handling Policy found on the official website at www.primexbt.com

If you cannot settle your complaint with us, you are entitled to refer it to the office of the FAIS Ombud:

Telephone number	0860 324 766
E-mail	info@faisombud.co.za

The Ombud has been created to provide you with a redress mechanism for any inappropriate financial advice that you feel may have been given to you by a financial services provider.

FINANCIAL INTELLIGENCE CENTRE ACT ("FICA")

In terms of FICA, PrimeXBT (Pty) Ltd is an accountable institution. By taking a risk-based approach, we are required to identify our prospective clients, verify the given information and keep records of the verifying documents. We are also obliged to report suspicious and unusual transactions that may facilitate money laundering to the authorities.

DISCLAIMER

You should note that there are risks involved in buying or selling any financial product including crypto assets, and past performance of a financial product is not necessarily indicative of the future performance. The value of financial products including crypto assets can increase as well as decrease over time, depending on the value of the underlying securities and market conditions. Prior to selecting any financial product including crypto assets, it is recommended that you seek specialised financial, legal and tax advice.

CLIENT ACKNOWLEDGMENT

By opening a trading account with PrimeXBT (Pty) Ltd, you confirm your agreement to PrimeXBT (Pty) Ltd's official Terms & Conditions and other legal documents, including the terms outlined in this document.